

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

John S. Flowers, Thomas C. Stracener

SC/Serial No.:

Inventor(s):

Unknown

Filed:

Herewith

Title:

QUERY-BASED RULES FOR USE IN NETWORK

SECURITY SYSTEMS

Customer No. 23910

PATENT APPLICATION

1-511 U.S. PTO

CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 C.F.R. §1.10

"Express Mail" mailing label number: EL670727763US

Date of Mailing: January 10, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service, utilizing the "Express Mail Post Office to Addressee" service addressed to **Box PATENT APPLICATION, Commissioner for Patents, Washington, DC 20231** and mailed on the above Date of Mailing with the above "Express Mail" mailing label number.

Matthew A. Mahling
Signature Date: January 10, 2001

UTILITY PATENT APPLICATION TRANSMITTAL LETTER UNDER 37 C.F.R §1.53(b)

Box PATENT APPLICATION Commissioner for Patents Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application identified as follows:

inventor(s):

Title:

No. of pages in Specification: 33; No. of Claims: 29.

No. of Sheets of Drawings: 4; Formal: X, Informal: .

Also enclosed are:

- _ A Declaration.
- An Assignment and Recordation Form Cover Sheet.
- A certified copy of a priority application.
- _ A Power of Attorney.
- _ A Statement Claiming Small Entity Status.
- An Information Disclosure Statement under 37 C.F.R. §1.56.
- X A Request for Non-publication.

The filing fee pursuant to 37 C.F.R. §1.16 is determined as follows:

No. Filed	No. Extra		Other Than	Rate Small Entity/ Other Than Small Entity	
Başic Fee			\$355.00 \$710.00	=	\$710.00
Total Claims <u>29</u> - 20 =	<u>9</u> *	Х	\$ 9.00 \$ 18.00	=	\$162.00
Independent Claims 10 - 3 =	<u>7</u> *	Х	\$ 40.00 \$ 80.00	=	\$560.00
First Presentation of Multiple Dependent Claim(s)			\$135.00 \$270.00	=	\$0
			Total	=	\$1,432.00

^{*}If the difference is less than zero, enter "0".

- Please charge Deposit Account No. 06-1325 in the amount of \$___. A duplicate copy of this authorization is enclosed.
- \underline{X} A check in the amount of $\frac{1,432.00}{1}$ to cover the filing fee is enclosed.

- X The Commissioner is hereby authorized to charge underpayment of any additional fees (including those listed below) or credit any overpayment associated with this communication to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.
 - X Any additional filing fees under 37 C.F.R. §1.16.
 - X Any patent application processing fees under 37 C.F.R. §1.17.

This application is filed pursuant to 37 C.F.R. §1.53(b) in the name of the above-identified Inventor(s).

X This application claims priority to an earlier-filed Provisional patent application, as set forth more fully in this application.

Please direct all correspondence concerning the above-identified application to the following address:

Sarah Barone Schwartz
FLIESLER, DUBB, MEYER & LOVEJOY LLP
Four Embarcadero Center, Suite 400
San Francisco, California 94111-4156
Telephone: (415) 362-3800

By:

Respectfully submitted,

Date: _____January 10, 2001

Sarah Barone Schwartz

Reg. No. 40,284

FLIESLER, DUBB, MEYER & LOVEJOY LLP Four Embarcadero Center, Suite 400 San Francisco, California 94111-4156 Telephone: (415) 362-3800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): J. Flowers, T. Stracener

SC/Serial No.:

Unknown

Filed:

Herewith

Title: QUERY-BASED RULES FOR USE

IN NETWORK SECURITY

SYSTEMS

PATENT APPLICATION



Customer No. 23910

CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 C.F.R. §1.10

"Express Mail" mailing label number: EL670727763US Date of Mailing: January 10, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service, utilizing the "Express Mail Post Office to Addressee" service addressed to **Box PATENT APPLICATION**, **Commissioner for Patents**, **Washington**, **DC 20231** and mailed on the above Date of Mailing with the above "Express Mail" mailing label number.

Matthew A. Mahling

Signature Date: January 10, 2001

(Signature)

NON-PUBLICATION REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

Box PATENT APPLICATION Commissioner for Patents Washington, DC 20231

Sir:

I hereby certify that the invention disclosed in the application submitted herewith has not and will not be the subject of an application filed in another country, or under a

multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the application submitted herewith **not be published** under 35 U.S.C. 122(b).

Respectfully submitted,

Date: <u>January 10, 2001</u>

By: Sarah Barone Schwartz

Reg. No. 40,284

FLIESLER, DUBB, MEYER & LOVEJOY LLP Four Embarcadero Center, Suite 400 San Francisco, California 94111-4156 Telephone: (415) 362-3800